## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Daniel C. Guterman et al.

Title:

Novel Multi-State Memory

Application No.:

Filing Date:

Herewith

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Group Art Unit:

Unassigned

Examiner: Docket No.:

SNDK.138USM

Conf. No.:

Unassigned

Mail Stop Patent Application Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

- M Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.
- $\boxtimes$ Copies of the documents listed on the accompanying Form PTO-1449 that are not enclosed were previously submitted in Application No. 10/013,592, from which this Application claims an earlier effective filing date.
- $\boxtimes$ This application has a filing date after June 30, 2003. Copies of the U.S. Patent(s) and U.S. Published Patent Application(s) documents listed on the accompanying Form PTO-1449 are not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or

Attorney Docket No.: SNDK.138USM

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VN/

(3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

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Respectfully submitted,

Gard D. Parsons

March 24, 2004

Reg. No. 24,486

PARSONS HSUE & DE RUNTZ LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 (415) 318-1160 (415) 693-0194 (fax)

Sheet 1 of 1

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		Notice of Refe	rances Cited	Application No. 09/437,		Applicant(s)	Auclair	et al.	
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	2	5,070,032	Dec. 3, 1991	Yuan et al.				
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EFS-Web Receipt date: 03/2/78004 10809572 - GAU: 2827 U.S. Department of Commerce, ratent and Trademark Office Atty Docket No. Application No. SNDK.138USE 10/013.592 formerly (M-10249-14P US) INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicants Confirmation No. (Use several sheets if necessary) Daniel C. Guterman et al. 1251 Filing Date Art Unit Group November 13, 2001 2818 U.S. Patent Documents \*Examiner Document Filing Date If Initial Date Number Name Class Subclass Appropriate 50 5.028.553 7/1991 Esquivel et al. 51 5.029,130 7/1991 Veh 52 5.045.488 9/1991 Yeh 53 5.057,886 10/1991 Riemenschneider et al. 54 5,067,108 11/1991 Jena 55 5,070,032 12/1991 Yuan et al. 56 5,081,054 1/1992 Wn et al 57 5,081,056 1/1992 Mazzali et al 58 5.095.344 3/1992 Harari 59 5.147.816 9/1992 Gill et al. 60 5.204.841 4/1993 Chappell et al. Foreign Patent Documents Translation Document Date Country Class Subclass Yes No OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Dumitru Cioaca, et al., "A Million-Cycle 256K EEPROM", IEEE (Oct. 1987), vol. SC-22, No. 5, pp. 684-61 62 Cristiano Calligaro, et al., "A New Serial Sensing Approach for Multistorage Non-Volatile Memories", IEEE (1995), pp. 21-26. 63 Min-hwa Chi, et al., "Multi-level Flash/EPROM Memories: New Self-convergent Programming Methods for Low-voltage Applications", IEDM 95-271, IEEE (1995), pp. 271-274. Examiner Date Considered \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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See Manual of Patent Examining Procedure, section 707.05(a).)

EFS-Web Receipt date: 03/2/42004 10809572 - GALL-28272 FORM PT01449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO (REV. 8-83) PATENT AND TRADEMARK OFFICE HARL 026US4 09/113.800 APPLICANT INFORMATION DISCLOSURE STATEMENT DANIEL L. AUCLAIR et al. (Use several sheets if necessary) FILED GROUP July 8, 1998 2784 U. S. PATENDO COMENTS \*EXAMINER SUB FILING DOCUMENT NUMBER DATE NAME CLASS INITIAL CLASS DATE DT A1 4 2 1 1980 Furuta et al 365 222 Dr A2 4 2 5 3 0 5 9 1981 Bell et al 714 721 97 **A3** 4 6 0 9 8 2 1984 Gee et al. 365 185.6 DT A4 4 6 1 2 6 3 0 1986 Rosier 365 201 DT A:5 4 7 3 3 3 9 Giebel 4 1988 714 711-OT A6 7 3 3 4 6 0 5 1988 Kuo 185.24 3650 DT A7 7 4 7 9 2 7 2 1988 Kohda et al. 714 07 A8 4 7 9 9 ı 9 5 1989 Iwahashi et al. 36C F 185.21 D7 A9 8 0 9 .3 1 1989 Shannon et al. 3658 2015 A10 Ðτ 5 1 2 2 Q 8 5 1992 Santin 3653 185.19 Di All 5 1 3 2 9 3 5 1992 Ashmore, Jr. 365 185.19 01 A12 5 5 1 .0 0 6 1992 Sawada 714 805 Δī A13 5 5 7 1 6 2 9 Sato et al. 1992 365 201 DI 5 A14 2 3 8 1992 Mehrotra et al 365 185.03 DI A15 5 2 0 0 9 2 Ž 1993 Rao 365 185.09 Di A16 5 2 3 9 5 0 5 1993 Fazio et al. 365 185.11 DI A17 5 2 6 2 g 8 4 1993 Noguchi et al. 365 185.03 DT A18 5 8 9 4 1994 Tanaka et al. 365 185.7 FOREIGN PATENT DOCUMENTS SUB TRANS.? DOCUMENT NUMBER DATE COUNTRY CLASS CLASS (YES/NO

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APPLICANT

Hock C. So and Sau C. Wong

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